BLANKART & CIE

FinSA CLIENT INFORMATION

Based on the legal requirements of Art. 8 et seq. of the Financial Services Act (FinSA), the following provides an overview of Blankart & Cie Aktiengesellschaft (hereinafter the "Financial Institution") and its asset management services.

A. Company Information

Address

Bahnhofstrasse 106
8001 Zurich

Phone: +41 44 228 66 70
E-Mail: daniel.hug@blankart.com
Website: www.blankart.com

The Financial Institution was founded in December 2005.

Supervisory Authority and Audit Firm

Since the introduction of the new Financial Institutions Act (FinIA), all financial institutions require a license from the Swiss Financial Market Supervisory Authority FINMA to carry out their professional activities as asset managers. On 17 August 2023, the Financial Institution was granted said authorization as an asset manager. From that date, the financial institution has been included on the list of asset managers licensed by FINMA and supervised by a supervisory organization.

The Financial Institution is monitored by the supervisory organization AOOS and is audited and has its books reviewed by the auditing company RÄBER Ltd, in terms of its compliance with supervisory law.

The addresses of AOOS and RÄBER Ltd can be found below.

AOOS
Clausiusstrasse 50
CH-8006 Zurich

Phone: +41 44 215 98 98
E-Mail: info@aoos.ch
Website: www.aoos.ch

RÄBER Ltd
Churerstrasse 100
CH-8808 Pfäffikon SZ

Phone: +41 (0)55 420 28 89
E-Mail: info@raeber.ch
Website: www.raeber.ch

Ombudsman
The Financial Institution is affiliated with the independent ombudsman's office FINOS, which is recognised by the Federal Department of Finance. Disputes concerning legal claims between the client and the Financial Institution should be settled by an ombudsman's office, if possible, within the framework of a conciliation procedure. The address of FINOS is stated below:

Swiss Finance Ombudsman (FINOS)
Talstrasse 20
8001 Zürich

Phone: +41 44 522 08 00
E-Mail: info@finos.ch
Website: www.finos.ch

B. Information on the financial services offered

As part of its comprehensive range of services, the Financial Institution provides asset management services to its clients.

The Financial Institution does not guarantee specific returns, or make claim to a specific level of performance, for its services. The value of investments can go down as well as up.

The Financial Institution has all the necessary authorisations to perform the services described above.

C. Client segmentation

Financial service providers are required by law to classify all their clients into segments and comply with the relevant codes of conduct. The Financial Services Act (FinSA) provides for three client segments, distinguishing between «retail clients», «professional clients» and «institutional clients». A client classification is defined for each client as part of the cooperation with the Financial Institution. At the Financial Institution, all clients are generally classified in the "private clients" segment. This means that they benefit from the most comprehensive investor protection.

D. Information on Risks and Costs

General risks associated with trading in financial instruments
Trading in financial instruments involves financial risks. The Financial Institution hands out the brochure «Risks Involved in Trading Financial Instruments» prior to concluding a contract. This brochure can also be found at www.swissbanking.org.

Clients of the Financial Institution may contact their client advisor at any time if they have any further questions.

Risks associated with the services offered

For a description of the various risks that may arise from the investment strategy for the client's assets, please refer to the relevant asset management agreements.

Information on Costs

A fee is charged for the services provided which is normally calculated based on assets under administration. For more detailed information, please refer to the relevant asset management contracts.

E. Information about Compensation from Third Parties

In connection with the financial services offered by the Financial Institution, economic ties with related and/or third parties may exist. The acceptance of compensation from related and/or third parties and the treatment thereof is regulated comprehensively and in great detail in the relevant asset management agreements.

F. Information on the market offer considered

The Financial Institution basically follows an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments.